TRN 1722

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(c))						cket No. 0/82821		
In Re Application Of:  Kozo Nakamura  JAN 0 3 7006								
Applic	cation No.	Filing Date	4 DADET MEMINER	Customer No.	Group Art Unit	Confirmation No.		
09/8	09/856,212 5/18/2001 Matthew J. Song 24628 1722 6761							
	Title: PRODUCTION METHOD FOR SILICON SINGLE CRYSTAL AND PRODUCTION DEVICE FOR SINGLE CRYSTAL INGOT, AND HEAT TREATING METHOD FOR SILICON SINGLE CRYSTAL WAFER							
			Address to: Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313-14					
			37 CFR 1.97(b)					
	1.   The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.							
<ul> <li>37 CFR 1.97(c)</li> <li>The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</li> </ul>								
	☐ the	statement specified in	n 37 CFR 1.97(e);		•			
		ı	OR					
	the fee set forth in 37 CFR 1.17(p).							

TRANSMITTA	{ <b>[ ]</b>	cket No. 0/82821				
In Re Application of	f: Kozo Nakamur	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\				
Application No.	Filing Date	TRADE SAMiner		Customer No.	Group Art Unit	Confirmation No.
09/856,212	5/18/2001	Matthew J. S		24628	1722	6761
	ION METHOD FOR RYSTAL INGOT, AN					
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as describe □ Ch □ Cre	or is hereby authorize	t.		Account No. 2	23-0920	
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I certify that this account is bein	ate of Transmission b document and authorizating facsimile transmitted lemark Office (Fa	tion to charge deposit	I hereby c with the U as first "Commiss 22313-145 Decei	certify that this condition of the condi	hla	ing deposited cient postage ddressed to exandria, VA
		Signature of Person Mailing Correspondence GERALD T. SHEKLETON, (27,466)			4	
Typed or I	Printed Name of Person Sig	ning Certificate	Тур	ed or Printed Name	of Person Mailing C	ertificate
*This certific deposit accordance  Gerald T. Shekleton WELSH & KATZ, 120 South Riverside 22nd Floor	LTD.		Dated:	December 30, 2	005	
Chicago, Illinois 60 Telephone (312) 65 Facsimile (312) 65	55-1500					



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Pa	atent Application	) Confirmation No.: 6761
Applicant:	Kozo Nakamura	) ) I hereby certify that this correspondence is
Serial No.:	09/856,212	<ul> <li>being deposited with the United Postal</li> <li>Service as first class mail in an envelope</li> </ul>
Filed:	May 18, 2001	addressed to: Mail Stop AMENDMENT, Commissioner of Patents, P.O. Box 1450,
For:	PRODUCTION METHOD FOR SILICON SINGLE CRYSTAL AND PRODUCTION DEVICE FOR SINGLE CRYSTAL INGOT, AND HEAT TREATING METHOD FOR	) Alexandria, VA, 22313-1450. ) December 30, 2005
	SILICON SINGLE CRYSTAL WAFER	(Court Shill
Examiner:	Matthew J. Song	Gerald T. Shekleton Reg. No. 27,466
Art Unit:	1722	)

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop AMENDMENT Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, a list of documents is disclosed on the attached Form PTO-1449 that may be material to the examination of this application. The listed Documents are enclosed herewith.

No inferences should be drawn that the attached list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

The cited document(s) disclose numerous specific features. There has been no attempt to list each and every feature disclosed by each document. The Examiner is requested to review the document(s) and determine the extent of the materiality of the document disclosures with respect to the present invention.

Attorney Docket No. 1110/82821

Serial No. 09/856,212 Filed: May 18, 2001

The discussion of any art and the citation of any document(s) herein is not to be

construed as an admission that the art or document disclosure is necessarily within the

invention field of endeavor, that the art or document disclosure is necessarily prior in time to

a particular date which may be relevant to the instant patent application, and/or that the art or

document disclosure is otherwise necessarily prior art as defined by the patent law with

respect to the instant invention and application.

Also, there is reserved the right to later set forth how the instant invention is

distinguished over the disclosure of any document or other art, including the disclosures of

the art and document(s) recited herein, that may be cited by the Examiner in rejecting a claim

in the instant patent application. The recitation herein of the art and document(s) is not to be

construed as an assertion that more pertinent art could not possibly be in existence.

Respectfully submitted,

WELSH & KATZ, LTD.

Seed ( Webs

Gerald T. Shekleton

Registration No. 27,466

Dated: December 30, 2005

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PTO/SB/08A & (04-03)
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Substitute for form 1449/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number	09/856,212	
				Filing Date	May 18, 2001	
STATEMENT BY APPLICANT			LICANT	First Named Inventor	Kozo Nakamura	
(use as many sheets as necessary)				Art Unit	1722	
			necessary)	Examiner Name	Matthew J. Song	•
Sheet	1	of	1	Attorney Docket Number	1110/82821	

-	U.S. PATENT DOCUMENTS						
Examin er Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		
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		FOF	REIGN PATENT D	OCUMENTS		-
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
		JP 11-092272 A	04/06/1999	Komatsu Electron Metals Co Ltd		X

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T6		
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.